



## FACTS

### WHAT DOES PROVIDENCE WEALTH ADVISORS DO WITH YOUR PERSONAL INFORMATION?

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number
- Income
- Assets
- Investment Experience
- Risk Tolerance
- Retirement Assets

When you are *no longer* our customer, we continue to share your information as described in this notice.

#### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Providence Wealth Advisors chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Providence Wealth Advisors share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	No
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	Yes	No
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	No	We do not share
<b>For our affiliates to market to you</b>	No	We do not share
<b>For nonaffiliates to market to you</b>	No	We do not share

#### Questions?

Call 1-888-927-7387

<b>What we do</b>	
<b>How does Providence Wealth Advisors protect my personal information?</b>	<i>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.</i>
<b>How does Providence Wealth Advisors collect my personal information?</b>	<p><i>We collect your personal information, for example, when you</i></p> <ul style="list-style-type: none"> <li>■ <i>Seek advice about your investments</i></li> <li>■ <i>Direct us to buy securities</i></li> <li>■ <i>Direct us to sell securities</i></li> <li>■ <i>Enter into an investments advisory contract</i></li> <li>■ <i>Tell us about your investment or retirement portfolio</i></li> </ul>
<b>Why can't I limit all sharing?</b>	<p><i>Federal law gives you the right to limit only</i></p> <ul style="list-style-type: none"> <li>■ <i>sharing for affiliates' everyday business purposes—information about your creditworthiness</i></li> <li>■ <i>affiliates from using your information to market to you</i></li> <li>■ <i>sharing for nonaffiliates to market to you</i></li> </ul>
<b>Definitions</b>	
<b>Affiliates</b>	<p><i>Companies related by common ownership or control. They can be financial and nonfinancial companies.</i></p> <ul style="list-style-type: none"> <li>■ <i>Our affiliate is Providence Bank.</i></li> </ul>
<b>Nonaffiliates</b>	<p><i>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</i></p> <ul style="list-style-type: none"> <li>■ <i>Providence Wealth Advisors does not share with nonaffiliates so they can market to you.</i></li> </ul>
<b>Joint marketing</b>	<p><i>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</i></p> <ul style="list-style-type: none"> <li>■ <i>Providence Wealth Advisors doesn't jointly market.</i></li> </ul>